

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Ashish Kundu

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Unknown

For: **SYSTEMS, METHODS AND COMPUTER PROGRAMS FOR MONITORING  
DISTRIBUTED RESOURCES IN A DATA PROCESSING ENVIRONMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents, listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 09-0441.

Respectfully submitted,



Mohammad S. Rahman  
Registration No. 43,029

Date: 12/10/03  
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Substitute for form 1449/PTO and PTO/SB/08  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	
				Filing Date	
				First Named Inventor	
				Art Unit	
				Ashish Kundu	
				Examiner Name	
Sheet	2	of	2	Attorney Docket No	
				JP920030195US1	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No <sup>1</sup>	Include name of Author (in CAPITALS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
		de Souza, R., et al. "Multi-Agent Enabled Modeling and Simulation Towards Collaborative Inventory Management In Supply Chains", Winter Simulation Conference 2000, pages 1763-1771		
		Zeng, L. et al. "An Agent-Based Approach for Supporting Cross-Enterprise Workflows", Proceedings of the 12 <sup>th</sup> Australasian Conference on Database Technologies, Queensland Australia, 2001, pages 123-130, IEEE Computer Society		
		Jiang et al, "Providing Quality of Service Monitoring: Challenges and approaches", Proc. IEEE/IFIP NOMS'00, pp. 115-128, Hawaii, 2000.		
		Sahai et al, "Monitoring and Controlling Internet based E-services", The Second IEEE Workshop on Internet Applications (WIAPP'01), San Jose, CA, July 2001.		
		Hilbert, D.M., and Redmiles, D.F., "Agents for Collecting Application Usage Data over the Internet", In Proceedings of the Second International Conference on Autonomous Agents (Agents'98).		
		Al-Shaer et al, "HiFi: A New Monitoring Architecture for Distributed Systems Management", International Conference on Distributed Computing Systems, 1999.		
		Hauck and Reiser et al, "Monitoring Quality of Service Across Organizational Boundaries", Proceedings of the third International IFIP/GI Working Conference, USM 2000, Lecture Notes in Computer Science (LNCS), No. 1890, Munchen, Germany, September, 12-14, 2000, Springer.		
		Philip et al, "An Architecture for Network Resource Monitoring in a Distributed Environment", IPPS/SPDP Workshops 1998.		
		IBM Technical Disclosure Bulletin/Research Disclosure, 12, 2001, Article 159.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

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				First Named Inventor	
				Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket No	
				JP920030195US1	

US PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-20030126501	7/3/2003	Musman	
		US-20020040441	4/4/2001	See et al	
		US-6055307	4/25/2000	Behnke	
		US-6154778	11/28/2000	Koistinen et al	
		US-6158010	12/5/2000	Moriconi et al	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	6 T
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP10164063				
		JP6175944				

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